

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

www.uspto.gov

37198 e 05/18/2007

SMART INFORMATION FLOW TECHNOLOGIES, LLC D/B/A SIFT, LLC 2119 OLIVER AVENUE SOUTH MINNEAPOLIS, MN 55405

Paper No.

Application No.:	10/711,126	Date Mailed:	05/18/2007
First Named Inventor:	Miller, Christopher, A.	Examiner:	FERNANDEZ RIVAS, OMAR F
Attorney Docket No.:	SIFT0305-01	Art Unit:	2129
Confirmation No.:	5125	Filing Date:	08/25/2004

Please find attached an Office communication concerning this application or proceeding.

Application No. Applicant(s) 10/711,126 MILLER ET AL. **Notice of Non-Compliant Amendment** (37 CFR 1.121) Art Unit 2129 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --The amendment document filed on 23 April, 2007 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required. THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT: 1. Amendments to the specification: ☐ A. Amended paragraph(s) do not include markings. B. New paragraph(s) should not be underlined. C. Other _ 2. Abstract: ☐ A. Not presented on a separate sheet. 37 CFR 1.72. B. Other __ ☐ 3. Amendments to the drawings: A. The drawings are not properly identified in the top margin as "Replacement Sheet." "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d). B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required. C. Other __ 4. Amendments to the claims: A. A complete listing of all of the claims is not present. ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims) C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended). D. The claims of this amendment paper have not been presented in ascending numerical order. ☑ E. Other: Missing signature for response mail date 042307. 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4): For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714. TIME PERIODS FOR FILING A REPLY TO THIS NOTICE: 1. Applicant is given no new time period if the non-compliant amendment is an after-final amendment or an amendment filed after allowance, or a drawing submission (only) If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the entire corrected amendment must be resubmitted. 2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1 to 4 are checked, the correction required is only the corrected section of the non-compliant amendment in compliance with 37 CFR 1.121. Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final

amendment or an amendment filed in response to a *Quayle* action.

Failure to timely respond to this notice will result in:

Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action; or

Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable peggy s. yarborough Telephone No: <u>571 272 1859</u>